

SAMTD GOAL RATIONALE FOR FY 2024-2026

3-Year DBE Goal Determination

Salem Area Mass Transit District's overall goal is 2.43% (\$235,937.00). The goal is based on Department of Transportation (DOT) contracting opportunities projected to take place for the federal fiscal years 2024-2026 excluding DOT funds to be used for the purchase of transit vehicles.

The goal was developed in compliance with federal regulations set forth in 49 CFR Part 26, Participation by Disadvantaged Business Enterprises (DBE) in U.S. DOT Programs. The goal identifies the relative availability of DBEs based on evidence of ready, willing and able DBEs in relationship to all comparable businesses which are known to be available to compete for USDOT assisted contracts.

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)
1	236220	DW Server Room Construction	16	721
2	236220	SSTC	16	721
3	236220	Stops and Shelters, New Construction Local Rt 22(17)	16	721
4	238110	Stop Accessibility Improvement Program Local (BSIP)	13	195
5	238110	Stop Accessibility Improvement Program Regional (BSIP)	13	195
6	238220	DW Maint. Shop Floor Heating System Replacement	1	802
7	238990	DW Fence and Exterior Security Upgrades	15	330
8	423120	In-Ground Lifts (Phase 2 and 3)	0	121
9	541512	Cybersecurity Intrusion Detection System	6	341
10	541512	DW Maint Tracking System Replacement	6	341
11	561621	DW Camera Replacement	1	53
12	561621	DTC (CH@) Security Cameras	1	53
13	561621	Facilities Camera Replacement	1	53
14	561700	DW Admin Building Exterior Wall Repair and Repaint	12	2407
15	811310	DW Power Generator (Phase 2)	0	194
Combined Totals			117	7248

The number of DBE Certified Firms in SAMTD's DBE Market Area is 117

The number of DBE and Non-DBE Firms in SAMTD's Market Area is 7248

SAMTD's Market Area includes: Clackamas, Lane, Linn, Marion, Multnomah, Polk, Washington and Yamhill County.

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Determining Overall Annual DBE Participation and Achieving Goals

Pursuant to 49 CFR § 26.45, the Board of Directors shall establish overall annual goals on a fiscal year basis for the participation of DBEs in all budgeted contracts utilizing FTA financial assistance. The overall annual goals shall be expressed as a percentage of the total amount of FTA funds that SAMTD anticipates expending in the fiscal year. SAMTD's overall annual goals represent the amount of ready, willing, and able DBEs that are available to participate in contracting opportunities and is reflective of the amount of DBE participation that SAMTD would expect absent the effects of discrimination. SAMTD intends to meet those goals to the maximum extent feasible through race neutral measures.

1. Methodology for Setting Overall Annual DBE Goal

A. Projecting U.S. DOT-Assisted Contract Expenditures for FFY 2023-2024

In conjunction with the preparation and adoption of the budget for each fiscal year, the DBE Liaison Officer, in consultation with the appropriate SAMTD divisions and departments responsible for procurement and contracting activities, will conduct a thorough analysis of the projected number, types of work, and dollar amounts of contracting opportunities that will be funded, in whole or in part, by U.S. DOT federal financial assistance for the next three federal fiscal years. This analysis will exclude projected contract expenditures with transit vehicle manufacturers, which are exempt from the Program.

B. Establishing a Base Figure

Pursuant to 49 CFR § 26.45(c), the SAMTD will develop a base figure based upon the projected budget for the next three federal fiscal years to express the availability of DBEs as a percentage of all consultants, contractors, subcontractors, manufacturers, and suppliers in the relevant contracting markets. SAMTD will follow the methodologies provided in the Regulations.

i. Analyzing Available Businesses in the Relevant Contracting Markets

The DBE Liaison Officer, in conjunction with the appropriate SAMTD divisions and departments, will conduct a thorough analysis of the relevant contracting markets in which the SAMTD will solicit participation from consultants, sub consultants, contractors, subcontractors, manufacturers, and suppliers for each fiscal year. This analysis will include a description of geographical boundaries of the solicitations, the North American Industry Classification System (NAICS) for the types of work to be contracted, and any other indicators that SAMTD determines to be relevant in defining its contracting markets for the period. The DBE Liaison Officer then will determine the total available businesses according to the relevant contracting markets. The DBE Liaison Officer will consult a variety of sources including, but not limited to the Certification Office for Business Inclusion and Diversity (COBID) the U.S. Census County Business Patterns Database, and any relevant disparity studies that are available.

ii. Analyzing Available DBEs in the Relevant Contracting Markets

The DBE Liaison Officer will conduct a similar analysis to determine the DBEs that are available to

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participate as contractors, subcontractors, manufacturers, and suppliers in the projected contracts for the period. This analysis will include a description of the available DBEs relative to the geographical boundaries of the solicitations and the NAICS codes for the types of work to be contracted. SAMTD will consult a variety of sources including, but not limited to, the Certification Office for Business Inclusion and Diversity (COBID), the U.S. Census, and any relevant disparity studies that are available.

iii. Calculating the Base Figure

The DBE Liaison Officer will compare the available DBEs in the relevant contracting markets for the period to the available businesses in the relevant contracting markets for the period. The calculation will include a weighting factor according to the contract expenditure patterns and is shown in Appendix A.

C. Adjusting the Base Figure

Pursuant to 49 CFR § 26.45(d), the SAMTD will examine all the evidence available to determine if an adjustment is necessary to the base figure to reflect the level of DBE participation expected absent the effects of discrimination. Included among the typed of evidence that must be considered are the current capacity of DBEs to perform work on SAMTD's federally assisted contracts, as measured by the volume of work DBEs have performed in recent years, and evidence from disparity studies conducted within SAMTD's jurisdiction, to the extent not already accounted for in the base figure. If available, SAMTD also must consider available evidence from related fields that affect the opportunities for DBEs to form, grow, and compete, including statistical disparities in the ability of DBEs to obtain the financing, bonding, and insurance required to participate in the Program. Any adjustment to the base figure must be based on "demonstrable evidence that is logically and directly related to the effect for which the adjustment is sought," §26.45 (d) (3). At a minimum, the DBE Liaison Officer will analyze the results of SAMTD's efforts to contract with DBEs for the current and past two years, any available and relevant disparity studies (to the extent that they are not accounted for in the base figure), and any available and relevant results of other and similar U.S. DOT recipients' efforts to contract with DBEs.

D. Projection of Percentage of Overall Goals to Be Achieved Through Race-Neutral Measures

The U. S. DOT regulations require that the DBE Overall Annual Goal be met by using race-neutral methods.

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Appendix A

Step 1 - Determine The Weight Of Each Type Of Work By NAICS Code:

* Enter all the FTA-assisted projects below. Project amounts should be assigned relevant NAICS Code(s)

	NAICS Code	Project	Amount of DOT funds on project:	% of total DOT funds (weight)
1	236220	DW Server Room Construction	\$ 153,000.00	0.0158
2	236220	SSTC	\$ 4,392,384.00	0.4524
3	236220	Stops and Shelters, New Construction Local Rt 22(17)	\$ 340,000.00	0.0350
4	238110	Stop Accessibility Improvement Program Local (BSIP)	\$ 473,150.00	0.0487
5	238110	Stop Accessibility Improvement Program Regional (BSIP)	\$ 130,000.00	0.0134
6	238220	DW Maint. Shop Floor Heating System Replacement	\$ 150,000.00	0.0154
7	238990	DW Fence and Exterior Security Upgrades	\$ 1,586,729.00	0.1634
8	423120	In-Ground Lifts (Phase 2 and 3)	\$ 1,061,675.00	0.1093
9	541512	Cybersecurity Intrusion Detection System	\$ 90,000.00	0.0093
10	541512	DW Maint Tracking System Replacement	\$ 456,000.00	0.0470
11	561621	DW Camera Replacement	\$ 71,000.00	0.0073
12	561621	DTC (CH@) Security Cameras	\$ 50,000.00	0.0051
13	561621	Facilities Camera Replacement	\$ 215,000.00	0.0221
14	561700	DW Admin Building Exterior Wall Repair and Repaint	\$ 40,000.00	0.0041
15	811310	DW Power Generator (Phase 2)	\$ 500,407.00	0.0515
Total FTA-Assisted Contract Funds			\$ 9,709,345.00	1

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Step 2 - Determine The Relative Availability Of DBE's By NAICS Code:

* Use COBID and/or a bidders list to enter the number of available DBE firms and the number of available firms.

	NAICS Code	Project	Number of DBEs available to perform this work	Number of all firms available (including DBEs)	Relative Availability	
1	236220	DW Server Room Construction	16	721	0.0222	
2	236220	SSTC	16	721	0.0222	
3	236220	Stops and Shelters, New Construction Local Rt 22(17)	16	721	0.0222	
4	238110	Stop Accessibility Improvement Program Local (BSIP)	13	195	0.0667	
5	238110	Stop Accessibility Improvement Program Regional (BSIP)	13	195	0.0667	
6	238220	DW Maint. Shop Floor Heating System Replacement	1	802	0.0012	
7	238990	DW Fence and Exterior Security Upgrades	15	330	0.0455	
8	423120	In-Ground Lifts (Phase 2 and 3)	0	121	0.0000	
9	541512	Cybersecurity Intrusion Detection System	6	341	0.0176	
10	541512	DW Maint Tracking System Replacement	6	341	0.0176	
11	561621	DW Camera Replacement	1	53	0.0189	
12	561621	DTC (CH@) Security Cameras	1	53	0.0189	
13	561621	Facilities Camera Replacement	1	53	0.0189	
14	561700	DW Admin Building Exterior Wall Repair and Repaint	12	2407	0.0050	
15	811310	DW Power Generator (Phase 2)	0	194	0.0000	
		Combined Totals	117	7248	0.0161	Overall availability of DBEs

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Step 3 – Determine The Weighted Base Figure: (Weight) x (Availability) = Weighted Base Figure

	NAICS Code	Project	Weight	x	Availability	Weighted Base Figure
1	236220	DW Server Room Construction	0.0158	x	0.0222	0.0003
2	236220	SSTC	0.4524	x	0.0222	0.0100
3	236220	Stops and Shelters, New Construction Local Rt 22(17)	0.0350	x	0.0222	0.0008
4	238110	Stop Accessibility Improvement Program Local (BSIP)	0.0487	x	0.0667	0.0032
5	238110	Stop Accessibility Improvement Program Regional (BSIP)	0.0134	x	0.0667	0.0009
6	238220	DW Maint. Shop Floor Heating System Replacement	0.0154	x	0.0012	0.0000
7	238990	DW Fence and Exterior Security Upgrades	0.1634	x	0.0455	0.0074
8	423120	In-Ground Lifts (Phase 2 and 3)	0.1093	x	0.0000	0.0000
9	541512	Cybersecurity Intrusion Detection System	0.0093	x	0.0000	0.0000
10	541512	DW Maint Tracking System Replacement	0.0470	x	0.0176	0.0008
11	561621	DW Camera Replacement	0.0073	x	0.0189	0.0001
12	561621	DTC (CH@) Security Cameras	0.0051	x	0.0189	0.0001
13	561621	Facilities Camera Replacement	0.0221	x	0.0189	0.0004
14	561700	DW Admin Building Exterior Wall Repair and Repaint	0.0041	x	0.0050	0.0000
15	811310	DW Power Generator (Phase 2)	0.0515	x	0.0000	0.0000
					Total	0.0243
					Expressed as a % (*100)	2.43%
					Rounded, Weighted Base Figure:	2%